



# **PRIVACY POLICY**

**BL Global Markets Limited**

**May 2018**

## PRIVACY POLICY

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### 1. INTRODUCTION

- 1.1 BL Global Markets Limited (**BL Global, we, us, our**) is committed to respecting and protecting personal information in accordance with the Privacy Act 1993 (**Privacy Act**).
- 1.2 This Policy is applicable to clients of BL Global, users of our website ([www.blgm.co.nz](http://www.blgm.co.nz)), and any persons from whom we have collected or will collect personal information (**you, your**).
- 1.3 This Policy explains how we use, collect and store personal information as part of our business and operations as a licensed derivatives issuer and in providing services to you.
- 1.4 By accessing and using our services, you consent to the use, collection, disclosure and storage of your personal information in accordance with this Policy. If you choose not to provide information when we ask for it, we may not be able to provide the services to you.

### 2. WHAT PERSONAL INFORMATION DO WE COLLECT?

- 2.1 We may, in addition to other information, collect the following personal information about you:
- a. your name, email address, residential address, contact telephone numbers and date of birth;
  - b. your bank account information, occupation, income and other information relating to your financial position;
  - c. specific documents to verify your identity and other personal information about you;
  - d. information required to determine your suitability for derivatives products that we offer;
  - e. information obtained from third parties in accordance with this Policy; and
  - f. any information as required by paragraph 2.2 below.
- 2.2 We have certain statutory responsibilities to collect and disclose information about you. For example, under the Anti-Money Laundering and Countering Financing Terrorism Act 2009 (**AML/CFT Act**) we are required to, among other things, verify the identity of persons who use our services. We may use third parties to assist us in this regard. If your identity cannot be verified to a level satisfactory to us, we may request that you send us further information in order to enable us to verify your identity. During the period that we provide services to you, we may also need to run additional identity checks on you. By using our services, you agree that we may run identity checks on you from time to time, and you acknowledge that you will not be able to use our services unless we are able to complete those checks to our satisfaction.

### 3. RECORDS OF CONVERSATIONS

- 3.1 BL Global may record telephone conversations between you and members of our staff.
- 3.2 We may also store and save conversations conducted on any instant messaging or text messaging service.
- 3.3 These recordings and saved conversations will be stored securely, protected from unauthorised or malicious use and these may be used to resolve disputes between you and us, if necessary.

#### **4. COOKIES**

4.1 We may collect technical information whenever you use our website. This may include information about the way you arrive at, browse, and interact with our website and the Metatrader 4 Trading Platform that we use to deliver services to you. We may collect this type of information through the use of cookies and other means.

#### **5. HOW DO WE USE PERSONAL INFORMATION?**

5.1 We may use personal information for any of the following purposes:

- a. Verifying any information that you give to us, or the information that we collect from third parties.
- b. Carrying out identity checks and other checks as required to enable us to provide our services to you.
- c. Providing our services to you and entering into an agreement relating to those services.
- d. Assessing the suitability of our services for you.
- e. Satisfying any obligation arising from any agreement between you and us.
- f. Complying with our legal and regulatory obligations or in connection with legal proceedings, crime or fraud prevention, detection or prosecution.
- g. Storing, accessing and making information available through our website.
- h. Contacting you with information about us, our services and other matters that we think may be of interest to you. You have the right to request that we cancel this service.
- i. Monitoring, operating, maintaining and improving our website.
- j. Any other purpose permitted under the Privacy Act or that we notify you of at the time personal information is collected.

5.2 You authorise any third party to provide your personal information to us for any of these purposes.

#### **6. WHEN DO WE DISCLOSE PERSONAL INFORMATION?**

6.1 We may disclose personal information to third parties and service providers working with us to help us provide, improve and promote our services.

6.2 We may also disclose personal information that we hold about you in the following instances:

- a. To any regulatory or government body.
- b. To third parties in order to enable us to assess the suitability of providing our services to you and/or to provide our services to you.
- c. To any person or entity with your express consent.
- d. For any purpose that is permitted under the Privacy Act.
- e. Where required by applicable law or any Court, or in response to a request by a law enforcement agency.

6.3 We may share non-personal and aggregated information for research or promotional purposes. Except as set out in this Policy, we do not sell or trade personal information to third parties, or allow such third parties to use that personal information.

## 7. STORAGE OF YOUR PERSONAL INFORMATION

- 7.1 We store your personal information in our secure electronic folder. All hardcopy documents will be scanned and uploaded to our secure electronic folder. The information that we collect will be securely stored in BL Global's secure electronic folder. Some documents containing personal information may be kept in hard copy in a secure location in New Zealand.
- 7.2 We will keep your personal information for as long as necessary to achieve the purposes for which we collected it, and in all cases for such period as we are required to retain that information in order to comply with any relevant legislation and regulations.
- 7.3 We will keep your personal information secure using generally accepted standards of security and will take all reasonable care to keep your personal information safe from loss, misuse and unauthorised disclosure.

## 8. YOUR RIGHTS

- 8.1 You may:
- a. request a copy of the personal information that we hold about you; and
  - b. correct the personal information that we hold about you, at any time by using the details below.

**Address** BL Global Limited

**Attention:** Customer Service Representative  
Level 35  
48 Shortland Street  
Auckland CBD 1010  
New Zealand

**Email** cs@blgm.co.nz

**Phone** 09 367 6888

## 9. AMENDMENTS

- 9.1 We may amend this Policy from time to time. You hereby deem to accept any changes to this Policy by virtue of using our services and/or our website. We will ensure that the current version our Privacy Policy is available at all times on our website: [www.blgm.co.nz](http://www.blgm.co.nz).